



## **Regional Inception Workshop for the SEAFDEC/UNEP/GEF Project:**

*“Establishment and Operation of a Regional System of Fisheries Refugia  
in the South China Sea and Gulf of Thailand”*

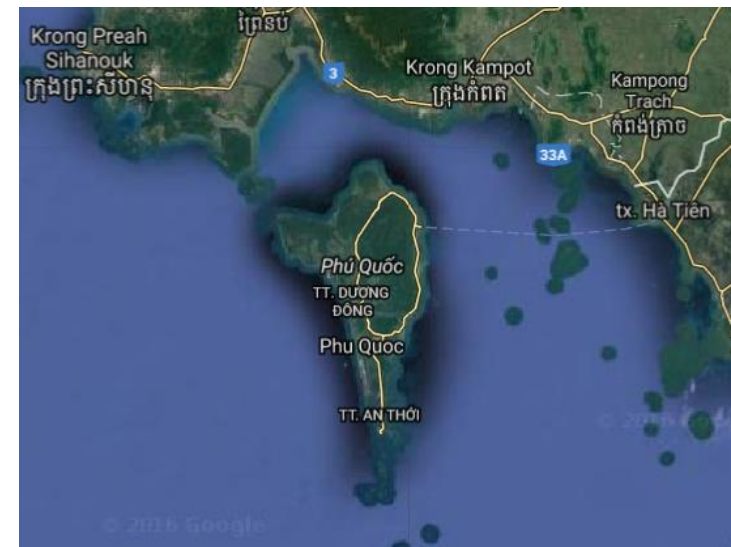
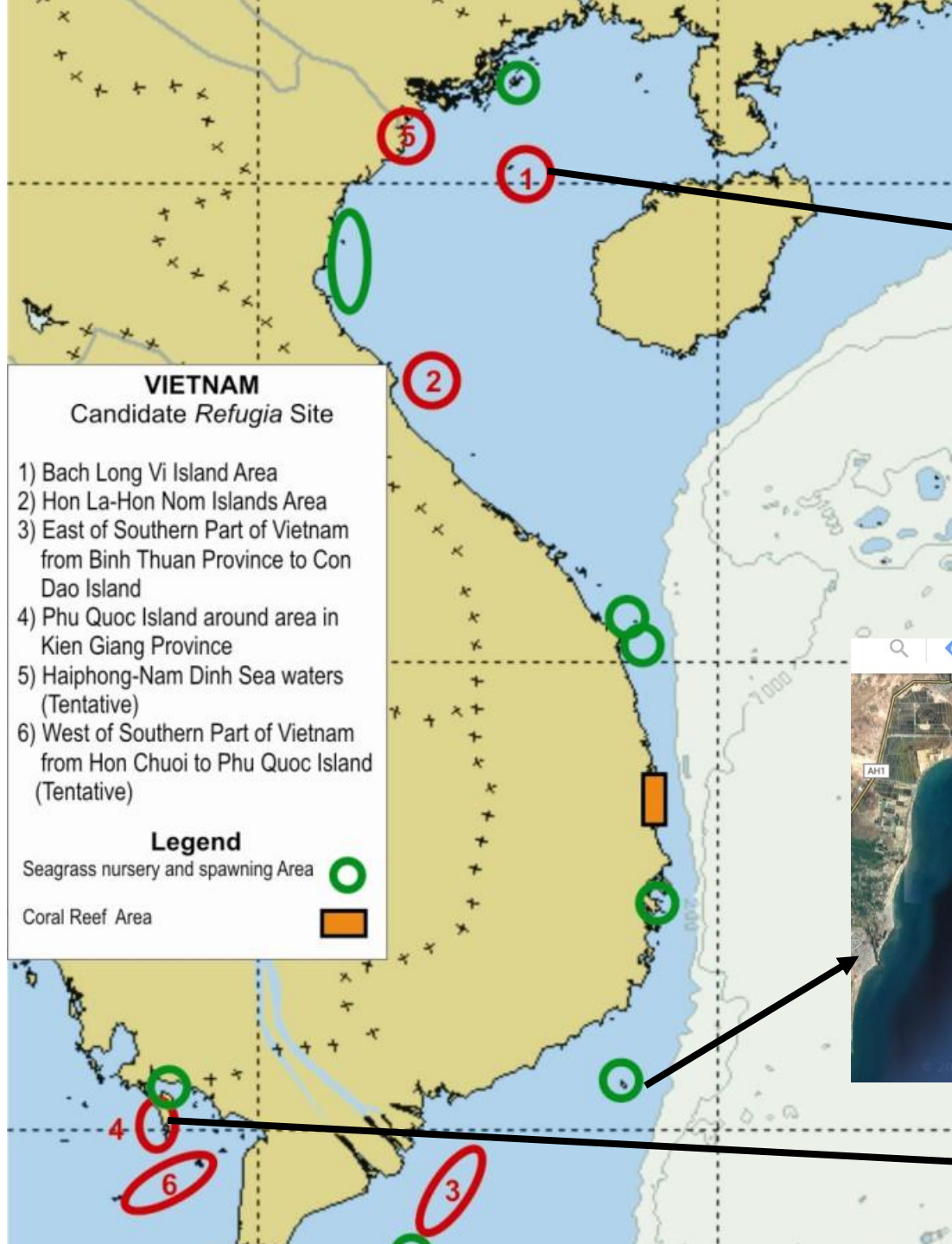
Bangkok, Thailand, 1-3 November 2016

### **Vietnam Situation Report**

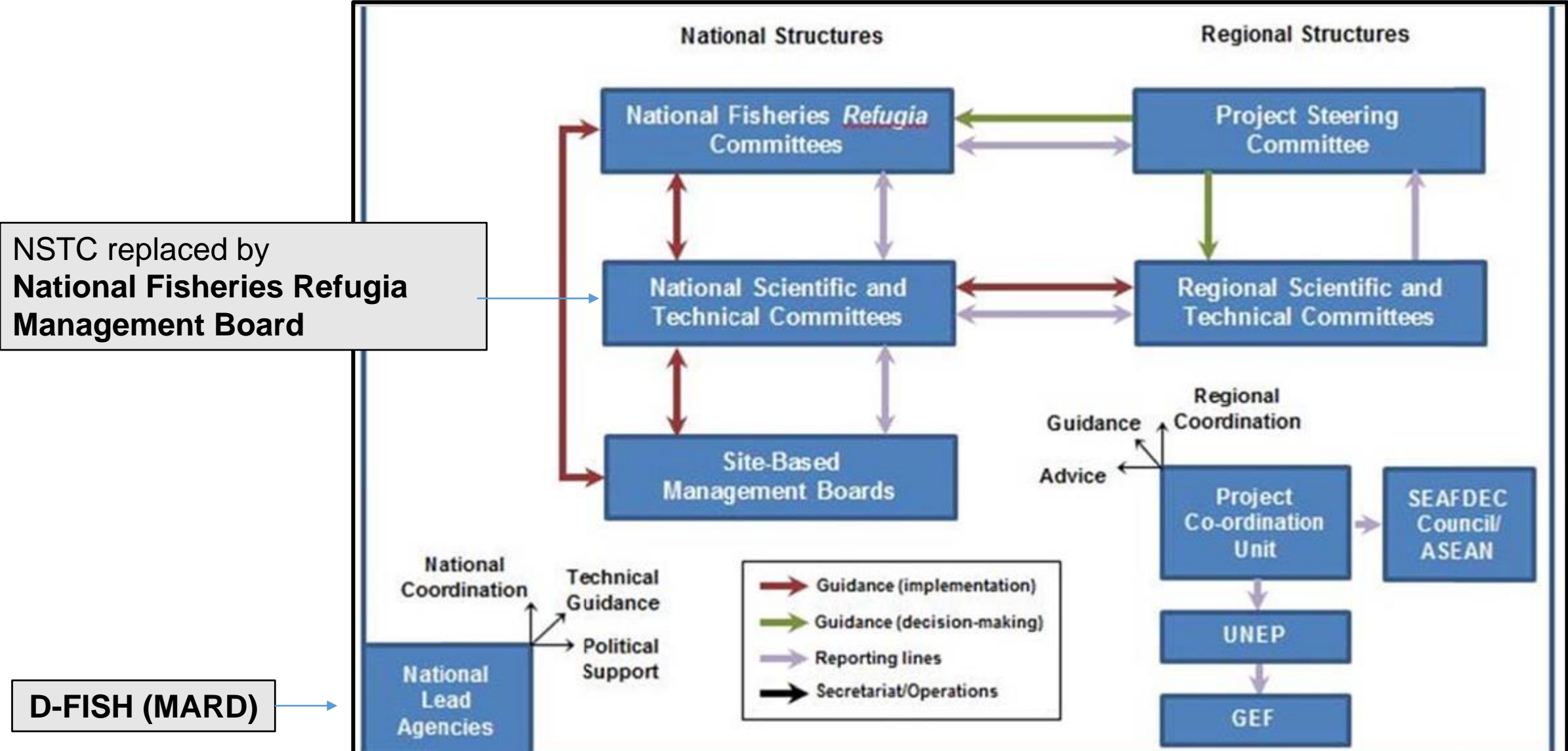
# Reported issues:

- Sites selected for Fisheries Refugia in Vietnam
- Proposed National Coordination Mechanism
- National Fisheries Refugia Committee (NFRC): TOR and members
- National Scientific and Technical Committee (NSTC): TOR and members
- Site-based Management Boards: TOR and members

# Sites selected:



# Proposed National Coordination Mechanism:



# **National Fisheries Refugia Committee: Proposed members**

## **1. Ministry of Agriculture and Rural Development (MARD):**

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- Directorate of Fisheries (D-FISH),
- Department of Science, Technology and Environment
- Planning Department, MARD

## **2. Ministry of Natural Resources and Environment (MONRE):**

- Institute of Strategy and Policy on Natural Resources and Environment

# National Fisheries Refugia Committee: Provisional Terms of Reference

**National Fisheries Refugia Committee shall operate on the basis of consensus to:**

1. Assume overarching responsibility for the execution of national level activities of the SEAFDEC/UNEP/GEF Fisheries Refugia Project in Vietnam;
2. Receive, review, and approve reports from the Fisheries Refugia Management Boards regarding the outputs and outcomes of efforts to establish and manage fisheries refugia sites;
3. Meet on a quarterly basis during the operational phase of the project to guide the timely execution of project activities, particularly activities at the individual refugia sites, and to consider, amend and endorse quarterly work-plans, narrative progress and financial reports for submission to the regional Project Coordinating Unit;
4. Provide direction and strategic guidance to the National Lead Agency and site-based management boards for individual refugia sites on the national and local reforms to enhance the uptake of the fisheries refugia approach and strengthen the integration of fisheries and environmental management;

# National Fisheries Refugia Committee: Provisional Terms of Reference

5. Review planned and ongoing fisheries and environment projects being operated along the South China Sea coast of the Vietnam with the aim of minimising duplication of efforts, and to identify opportunities for cooperation and the sharing of examples of best practices in integrated fisheries and environmental management;
6. Assess stakeholder involvement in fisheries and environmental management and to take action where necessary to ensure appropriate levels of government, civil society and community organisation, environmental NGOs, Women's groups, and private sector engagement in project activities.
7. Ensure compatibility between site-based activities of the fisheries refugia project and other National, provincial and municipal activities in fisheries and environmental management;
8. Approve annual progress reports for transmission to the SEAFDEC Council, UNEP and the GEF Secretariat;

# National Fisheries Refugia Committee: Provisional Terms of Reference

9. Assist the national lead agency and focal point in securing co-financing committed to the project and in leveraging additional funding that may be required from time to time.

10. Agree at their first meeting:

a) the membership, meeting arrangements, and terms of reference of the committee; and

b) such standing orders and manner of conducting business as may be considered necessary by the committee.



# **National Fisheries Refugia Management Board: Proposed members**

1. Ministry of Agriculture and Rural Development (MARD):
  - Directorate of Fisheries (D-FISH)
  - Research Institute for Marine Fisheries (RIMF)
  
2. Vietnam Academy of Science and Technology
  - Nha Trang Institute of Oceanography
  - Haiphong Institute of Marine Environment and Resources
  
3. Site-based Management Boards

# National Fisheries Refugia Management Board: Provisional Terms of Reference

**shall operate on the basis of consensus to:**

1. Review and co-ordinate national scientific and technical activities of the SEAFDEC/UNEP/GEF Fisheries Refugia Project in Vietnam;
2. Review and evaluate, from a scientific and technical perspective, progress in the establishment of fisheries refugia sites, and provide guidance for improvement when necessary;
3. Provide the National Fisheries Refugia Committee with recommendations on proposed national and site-based activities, work plans, and budgets;
4. Provide the National Fisheries Refugia Committee with technical guidance and suggestions to improve project activities where necessary, including the reform of policy, legislation and institutional arrangements;
5. Facilitate co-operation with relevant national and provincial organisations and projects to enhance the information and science base for use in identifying and managing fisheries refugia in Vietnam;

# National Fisheries Refugia Management Board: Provisional Terms of Reference

6. Compile and evaluate national level sources of information and data for sharing at the regional level;
7. Receive, and review reports, data and information from the fisheries refugia sites and oversee the national synthesis of this information to identify overall needs and priorities for individual sites and networks of refugia sites in Vietnam;
8. Ensure that planned national level project activities are consistent with the national results framework for the project, and that the subsequent monitoring and reporting of project results is undertaken in a standardized and consistent manner;
9. Agree at their first meeting:
  - a) the membership, meeting arrangements, and terms of reference of the committee; and
  - b) such standing orders and manner of conducting business as may be considered necessary by the committee.

# Site-based Management Board: Proposed members

Sites	Members
<i>Bach Long Vi</i>	<i>Bach Long Vi Marine Protected Area (Chairman)</i> Sub-Department of Capture Fisheries and Resources Protection Institute of Marine Environment and Resources <i>Youth volunteers</i> Local Coast Guard
<i>Hon Cau, Binh Thuan</i>	<i>Hon Cau Marine Protected Area (Chairman)</i> Sub-Department of Fisheries Department of Agriculture and Rural Development, Binh Thuan Commune People 's Committee Local Coast Guard
<i>Phu Quoc</i>	<i>Phu Quoc Marine Protected Area (Chairman)</i> Academe Sub-Department of Capture Fisheries and Resources Protection Commune People 's Committee Local Coast Inspector

# Site-based Management Board: Provisional Terms of Reference

1. Meet on a monthly basis during the project inception period and a quarterly basis thereafter to guide the timely execution of activities to establish and operate fisheries refugia;
2. Receive, review and approve reports from the Fisheries Refugia Management Team regarding the outputs and outcomes of project activities;
3. Assist the Fisheries Refugia Management Team in ensuring co-ordination among the fisheries refugia project and other local activities undertaken during the course of the project to further enhance local capacity to strengthen the integration of fisheries and habitat management;
4. Review stakeholder involvement in project activities and take action where necessary to ensure appropriate levels of government, NGO, community, and private sector engagement;
5. Ensure compatibility between the recommendations for action at the fisheries refugia site with other local level activities for fisheries and coastal habitat management;

# Site-based Management Board: Provisional Terms of Reference

6. Review and evaluate, at the site level, progress in implementation of the project, and provide guidance for improvement to the Fisheries Refugia Management Team and National Fisheries Refugia Committee;
7. Approve quarterly progress reports for transmission to the meetings of the National Fisheries Refugia Committee;
8. Review and recommend for approval and implementation by the competent municipal authority, management plans and courses of action developed during the course of project execution;
9. Assist the Fisheries Refugia Management Team in leveraging required project co-financing and additional funds that may be required from time to time;
10. Work with the Fisheries Refugia Management Team in identifying best practices for replication and scaling-up as well as the mainstreaming of the fisheries refugia approaches at the local level; and
11. Agree at their first meeting:
  - a) the membership, meeting arrangements, and terms of reference of the committee
  - b) such standing orders and manner of conducting business as may be considered necessary by the committee.

THANK YOU

# OTHER ISSUES:

APPROVAL OF NATIONAL PROJECT DOCUMENTS



DECREE No. 16/2016/ND-CP dated on March 16, 2016

MANAGEMENT AND USE OF OFFICIAL DEVELOPMENT ASSISTANCE AND CONCESSIONAL LOANS  
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### **Article 28. Application for assessment of program/project instrument**

An application for assessment of a program/project instrument consists of:

1. A written request for assessment of the program/project instrument prepared by the governing body (if the program/project is within the competence of the Prime Minister) or project owner (if the program/project is within the competence of the head of the governing body).
2. A decision on investment guidelines.
3. The program/project document.
4. If the program/project is eligible for full on-lending or partial on-lending from state budget, the investor shall enclose documents proving the financial capacity, repayment plan, and other documents as instructed by the Ministry of Finance.
5. Written comments of the Ministry of Planning and Investment, the Ministry of Finance, and relevant agencies made during the preparation of the program/project instrument.
6. Documents related to the program/project in foreign languages must be enclosed with Vietnamese translations.

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#### **Article 40. Cases in which a project management board is not established**

1. The investment-deciding person decides not to establish a project management board as prescribed in Clause 4 and Clause 5 Article 37 of this Decree.
2. The investment-deciding person decides not to establish a project management board in the following cases:
  - a) The total capital (including reciprocal capital) of a technical assistance program/project funded by ODA grant is under USD 200,000;
  - b) The total capital (including reciprocal capital) of an investment program/project funded by ODA grant is under USD 350,000;
  - c) Regional programs and projects, sectoral access programs, budget assistance, non-project.
  - d) Any program/project funded by ODA grant that requires that financial management be carried out by the foreign sponsor and suppliers be appointed by the foreign sponsor and does not require establishment of a project management board under a international treaty or agreement on ODA or concessional loan for the program/project.

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**APPENDIX VII**

SPECIMEN OF AN INSTRUMENT OF A TECHNICAL ASSISTANCE PROJECT FUNDED BY ODA OR CONCESSIONAL LOAN

**I. BASIC INFORMATION ABOUT THE PROJECT**

1. Name of the project.
2. Foreign sponsor and co-sponsor.
3. Names and addresses of the governing body and project owner.
4. Intended commencement time.
5. Project location.

**II. CONTEXT AND NECESSITY OF THE PROJECT**

1. Suitability with and contributions of the project to national socio-economic development strategies and plans, sectoral and local development plans.
2. Relation with other programs and projects serving assistance in solving relevant issues of the program/project.
3. Necessity of the project (specify the issues to be solved within the framework of the project).
4. The need for ODA or concessional loan.

**III. BASIS FOR SUGGESTION OF FOREIGN SPONSOR**

Specify the suitability of the project with the foreign sponsor's orientation and priorities; conditions for providing ODA or concessional loan, and Vietnam's ability to meet such conditions.

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**IV. TARGETS OF THE PROJECT**

Overall and specific targets of the project.

**V. PROJECT DESCRIPTION**

Components, activities, and results of technical assistance; assessment of practicality of technical assistance.

**VI. BENEFICIARIES**

Direct and indirect beneficiaries of the project.

**VII. PROJECT EXECUTION, SUPERVISION, AND ASSESSMENT PLAN**

1. Plan for carrying out prior activities (if any).
2. Overall plan and detailed plan for the first year.
3. Project supervision and assessment plan.

**VIII. ORGANIZATION OF PROJECT MANAGEMENT**

Method for project management; mechanism for cooperation between the participants in the project execution and project management; capacity for project execution and project management of the project owner.

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**IX. TOTAL CAPITAL**

1. ODA and concessional loan (in original currency and USD).
2. Reciprocal capital (in VND and USD). Specify the sources of reciprocal capital (central, local government budget), value of contribution in kind. Providers of reciprocal capital, participants, and beneficiaries of the project (if any).

**X. CONDITIONS FOR USE OF ODA OR CONCESSIONAL LOAN IMPOSED BY THE FOREIGN SPONSOR**

Explanation for the contents specified in Clause 4 Article 6 of this Decree (in case of conditional ODA or concessional loan).

**XI. DOMESTIC FINANCIAL MECHANISM APPLIED TO THE PROJECT**

1. Full disbursement, full on-lending, partial on-lending; terms and conditions for on-lending; repayment plan;
2. Method for provision of sponsorship or disbursement via state budget; method for on-lending from a commercial bank or state budget;
3. Fulfillment of conditions for on-lending of the project owner according to the Law on Public debt management (for on-lent program/project); the basis for calculation of revenue, expenditures, feasibility of the project under the conditions of the foreign loan; repayment loan, collateral plan.

**XII. PRIOR ACTIVITIES**

Prior activities shall comply with Article 19 of this Decree on the basis of prior activities in the decision on investment guidelines./.